



2661
2111
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Attorney Docket No.: PATENT
SONY-15100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jon Ebbe Brelin

Serial No.: 09/972,488

Filed: October 4, 2001

For: **A METHOD OF AND APPARATUS
FOR UTILIZING EXTENDED
AV/C COMMAND FRAMES
INCLUDING STATUS INQUIRY,
NOTIFY INQUIRY AND
CONTROL INQUIRY COMMAND
TYPES**

Group Art Unit: ~~2661~~ 2111

Examiner:

TRANSMITTAL LETTER

162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Customer No. 28960

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Technology Center 2100

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 23, 2004

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.
Date: 7-23-04 By: [Signature]



PATENT
Attorney Docket No.: SONY-15100

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#6

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TYPES**

) Group Art Unit: 2661

) Examiner:

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,150,953;
- U.S. Patent No. 6,654,821 B1;
- U.S. Publication No. 2001/0021194 A1;
- European Patent No. 0812092 A2;
- Japan Patent No. 09326812 A;
- "Real -Time DV Transmission on Hybrid Network with IEEE 1394 and ATM"
Miyashita et al., AVC Products Development Laboratory, Matsushita Electric Industrial Co., Ltd. 1006, Kadoma, Kadoma-shi, Osaka Japan. Pages 148-149;
and

- "Home Network File System for Home Network Based on IEEE-1394 Technology", Tatsuya Igrashi et al., IT Laboratories, Sony Corporation, Tokyo, Japan, August 1999, pages 1000-1003.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 23, 2004

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

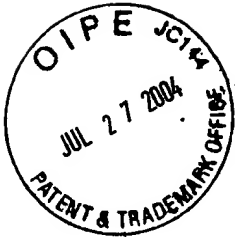
Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.

Date: 7-23-04 By: [Signature]



PATENT
Attorney Docket No.: SONY-15100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 2661
Jon Ebbe Brelin) Examiner:
Serial No.: 09/972,488) **CERTIFICATION FOR**
Filed: October 4, 2001) **SUPPLEMENTAL INFORMATION**
For: **A METHOD OF AND APPARATUS**) **DISCLOSURE STATEMENT UNDER 37**
FOR UTILIZING EXTENDED) **CFR § 1.97(e)**
AV/C COMMAND FRAMES) 162 N. Wolfe Road
INCLUDING STATUS INQUIRY,) Sunnyvale, CA 94086
NOTIFY INQUIRY AND) (408) 530-9700
CONTROL INQUIRY COMMAND)
TYPES)

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Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on June 3, 2004. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: July 23, 2004

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

Date: 7.23.04 By: [Signature]

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SONY-15100

Serial No.: 09/972,488

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Jon Ebbe Brelín

Filing Date: October 4, 2001

Group Art Unit: 2661

U.S. PATENT DOCUMENTS

Examiner Initials	Serial Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
AA	6,150,953	11/21/00	Tanaka et al.	340	825.37	05/29/97
AB	6,654,821 B1	11/25/03	Aikawa et al.	710	36	09/15/00
AC	US 2001/0021194 A1	09/13/01	Horiguchi et al.	370	421	01/17/01
AD						
AE						
AF						
AG						
AH						
AI						
AJ						

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FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
AK	0 812 092 A2	10/12/97	European	H04L	29/06	X	
AL	0 932 681 2 A	12/16/97	Japan	H04L012	40		X
AM							
AN							
AO							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	"Real-time DV Transmission on Hybrid Network with IEEE 1394 and ATM", Miyashita et al., AVC Products Development Laboratory, Matsushita Electric Industrial Co., Ltd. 1006, Kadoma, Kadoma-shi, Osaka Japan. pages 148-149.
AQ	"Home Network File System for Home Network Based on IEEE-1394 Technology," Tatsuya Igrashi et al., IT Laboratories, Sony Corporation, Tokyo, Japan, August 1999, pages 1000-1003.
AR	
AS	
AT	
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AZ	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.